

## **Freedom of Information Act 2000 (FOIA)**

### **Decision notice**

**Date:** 3 January 2024

**Public Authority:** Chief Constable of Merseyside Police  
**Address:** Merseyside Police HQ  
15 Cazneau Street  
Liverpool  
L3 3AN

#### **Decision (including any steps ordered)**

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1. The complainant has requested information regarding misconduct hearing outcomes from Merseyside Police. Merseyside Police relied on sections 30(1)(a) (Investigations and proceedings) and 40(2) (Personal information) of FOIA to withhold the information.
2. The Commissioner's decision is that Merseyside Police has correctly relied on section 40(2) of FOIA to withhold the information.
3. The Commissioner does not require any steps to be taken.

#### **Request and response**

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4. On 16 August 2023, the complainant wrote to Merseyside Police and requested information in the following terms:

"Please send me copies of the outcome notices of misconduct proceedings for officers [name redacted] and [name redacted].

[Name redacted] is a quite recent case, but having checked your website I found nothing for him.

[Name redacted]

"Two police officers have been sent to prison and a third handed a suspended sentence by a judge who said they took part in "what can only be described as a cover-up" over a colleague's assault of an innocent man.

The Merseyside constables [names redacted] were sentenced at Liverpool crown court on Thursday after a jury found them guilty of perverting the course of justice."

[website redacted]

[Name redacted]

"A Merseyside Police constable sacked after being convicted of stalking a fellow officer - causing her to move home - moaned on social media about the force being a "hostile workplace for males".

Former PC [name redacted] from [location redacted], was found guilty of gross misconduct after an accelerated misconduct hearing chaired by Chief Constable Serena Kennedy. The officer was dismissed without notice, and has been placed on the College of Policing Barred List prohibiting him from working in any policing role in future."

[website redacted]".

5. Merseyside Police responded on 20 September 2023. It stated that it was withholding the requested information under sections 30(1)(a) and 40(2).
6. On 22 September 2023, the complainant requested an internal review. When doing so they reduced the scope of their request to one of the officers only – the one "convicted of stalking".
7. Following an internal review, Merseyside Police wrote to the complainant on 2 October 2023. It stated that it was maintaining its original position.

### **Scope of the case**

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8. The complainant contacted the Commissioner on 9 October 2023 to complain about the way their request for information had been handled.
9. The Commissioner considers that the scope of his investigation is to establish whether Merseyside Police was entitled to withhold the requested information under the exemptions cited.

## Reasons for decision

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### Section 40 - personal information

10. Section 40(2) of the FOIA provides that information is exempt from disclosure if it is the personal data of an individual other than the requester and where one of the conditions listed in section 40(3A)(3B) or 40(4A) is satisfied.
11. In this case the relevant condition is contained in section 40(3A)(a). This applies where the disclosure of the information to any member of the public would contravene any of the principles relating to the processing of personal data ('the DP principles'), as set out in Article 5 of the UK General Data Protection Regulation ('UK GDPR').
12. The first step for the Commissioner is to determine whether the withheld information constitutes personal data as defined by the Data Protection Act 2018 ('DPA'). If it is not personal data then section 40 of the FOIA cannot apply.
13. Secondly, and only if the Commissioner is satisfied that the requested information is personal data, he must establish whether disclosure of that data would breach any of the DP principles.

### Is the information personal data?

14. Section 3(2) of the DPA defines personal data as:

"any information relating to an identified or identifiable living individual".
15. The two main elements of personal data are that the information must relate to a living person and that the person must be identifiable.
16. An identifiable living individual is one who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, location data, an online identifier or to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of the individual.
17. Information will relate to a person if it is about them, linked to them, has biographical significance for them, is used to inform decisions affecting them or has them as its main focus.
18. In the circumstances of this case, the Commissioner is satisfied that the withheld information in this case includes specific personal details about a named individual and their personal life in connection with criminal

allegations. The personal data relates to a data subject who can be identified as they are named within the request.

19. Having considered the nature of the withheld information, the Commissioner is satisfied that it both relates to and identifies the individuals concerned. This information therefore falls within the definition of 'personal data' in section 3(2) of the DPA.
20. This information therefore falls within the definition of 'personal data' in section 3(2) of the DPA.

### **Is the information criminal offence data?**

21. Merseyside Police has confirmed to the Commissioner that the officer was dismissed after being convicted at Criminal Court of stalking a fellow officer. It further confirmed that it considered the withheld information to be criminal offence data.
22. Information relating to criminal convictions and offences is given special status in the UK GDPR.
23. Article 10 of the UK GDPR defines 'criminal offence data' as being personal data relating to criminal convictions and offences. Under section 11(2) of the DPA personal data relating to criminal convictions and offences includes personal data relating to:
  - (a) The alleged commission of offences by the data subject; or
  - (b) Proceedings for an offence committed or alleged to have been committed by the data subject or the disposal of such proceedings including sentencing.
24. The Commissioner has outlined his view in a similar request for information made by the same complainant in his Decision Notice IC-261295-W3W7<sup>1</sup>. In that case, the Commissioner upheld the public authority's position that the requested information was exempt from disclosure on the basis that it was criminal offence data of identifiable parties.
25. For the above reason, the Commissioner will not reproduce the content of that decision notice here but he has adopted the analysis and

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<sup>1</sup> [ic-261295-w3w7.pdf \(ico.org.uk\)](https://ico.org.uk/decision-notice/261295-w3w7)

concluded that Merseyside Police is entitled to rely on section 40(2) as the requested information is criminal offence data.

26. In view of these findings, the Commissioner has not found it necessary to consider the citing of section 30(1)(a).

## Right of appeal

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27. Either party has the right to appeal against this decision notice to the First-tier Tribunal (Information Rights). Information about the appeals process may be obtained from:

First-tier Tribunal (Information Rights)  
GRC & GRP Tribunals,  
PO Box 9300,  
LEICESTER,  
LE1 8DJ

Tel: 0203 936 8963

Fax: 0870 739 5836

Email: [grc@justice.gov.uk](mailto:grc@justice.gov.uk)

Website: [www.justice.gov.uk/tribunals/general-regulatory-chamber](http://www.justice.gov.uk/tribunals/general-regulatory-chamber)

28. If you wish to appeal against a decision notice, you can obtain information on how to appeal along with the relevant forms from the Information Tribunal website.
29. Any Notice of Appeal should be served on the Tribunal within 28 (calendar) days of the date on which this decision notice is sent.

**Signed .....**

**Carolyn Howes**  
**Senior Case Officer**  
**Information Commissioner's Office**  
**Wycliffe House**  
**Water Lane**  
**Wilmslow**  
**Cheshire**  
**SK9 5AF**